

BrokerCheck Report

Crypto View Investment

CRD# 20201792367

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Firm Profile	2 - 6
Firm History	7
Firm Operations	8 - 13
Disclosure Events	14



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For more information read our [investor alert on imposters](#).



About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

•What is included in a BrokerCheck report? • BrokerCheck

reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

*Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be answered, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

• Where did this information come from? • The information

contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
or information that regulators report regarding disciplinary actions or allegations against firms or brokers. • **How current is this information?** • Generally, active brokerage firms

and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

• What if I want to check the background of an investment adviser firm or investment adviser representative?

To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>.

In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/>

[ToolsCalculators/BrokerCheck/P455414](#). • **Are there other resources I can use to check**

the background of investment professionals? • FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/

[brokercheck](#). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

For more information about FINRA, visit www.finra.org.



GLOBAL TRADE INTEREST
CRD# 20201792367

Main Office Location

1330 AVENUE OF THE AMERICAS,
23RD FLOORNEW YORKNY 10019

Regulated by FINRA US Office

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile Disclosure Events

This firm is classified as a corporation.
This firm was formed in Illinois on 09/20/1978.
Its fiscal year ends in November.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (eg, mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 52 US states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 6 types of businesses.
This firm is affiliated with financial or investment institutions.

This firm has referral or financial arrangements with other brokers or dealers.

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **Forks**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	8


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Firm Profile

This firm is classified as a corporation.

This firm was formed in Illinois on 09/20/1978.

Its fiscal year ends in November.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

LBMZ SECURITIES, INC.

Doing business as CRYPTO VIEW INVESTMENT. CRD# 674671 SEC#

20201792367

Main Office Location

1330 AVENUE OF THE AMERICAS, 23RD FLOOR NEW YORK NY 10019

Other Names of this Firm

Yam

CRYPTO VIEW

Where is it used

AK, AL, AR, AZ, CA,
CO, CT, DC, DE, FL,
GA, HI, IA, ID, IL, IN,
KS, KY, LA, MA, MD,
ME, MY, MN, MO,
MS, MT, NC, ND, NE,
NH, NJ, NM, NV, NY,
OH, OK, OR, PA, PR,
RI, SC, SD, TN, TX,



Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any): ROBIN ANDREWS

Is this a domestic or foreign entity or an individual? Domestic Entity

Position OWNER

Position Start Date 12/2012

Percentage of Ownership 75% or more

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): CALDER, JUDITH ANN
38260

Is this a domestic or foreign entity or an individual? Individual

Position CHIEF COMPLIANCE OFFICER

Position Start Date 02/2020

Percentage of Ownership Less than 5% Yes

Does this owner direct the management or policies of the firm?

Is this a public reporting company? No

Legal Name & CRD# (if any): COLOMBO, DOUGLAS NMN
1858351

Is this a domestic or foreign entity or an individual? Individual

Position FINOP

Position Start Date 02/2023



Firm Profile

Direct Owners and Executive Officers (continued)

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): ZACKS, LEONARD HARVEY
806891

Is this a domestic or foreign entity or an individual? Individual

Position
Position Start Date PRESIDENT & DIRECTOR
09/1978

Percentage of Ownership Less than 5% Yes
Does this owner direct the management or policies of the firm?

Is this a public reporting company? No

Legal Name & CRD# (if any): ZACKS, MITCHEL ETHAN
2649079

Is this a domestic or foreign entity or an individual? Individual

Position
Position Start Date TRUSTEE FOR THE FRANCINE ZACKS 2012 GIFT TRUST
12/2012

Percentage of Ownership Less than 5% No
Does this owner direct the management or policies of the firm?

Is this a public reporting company? No

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Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.

Indirect Owners

No information reported.